

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
UC97-156-7

Re Application Of: **OLAV SOLGAARD ET AL.**

Serial No.
09/813,446

Filing Date
MARCH 20, 2001

Examiner
LEE, JOHN D.

Group Art Unit
2874

Title: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
UC97-156-7In Re Application: **OLAV SOLGAARD ET AL.**

FEB 19 2003

Serial No.

09/813,440

Filing Date

MARCH 20, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

JOHN P. O'BANION, REG. NO. 33, 201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010

Dated: 2/19/03

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Applicant(s): OLAV SOLGAARD ET AL.

Docket No.

UC97-156-7

Serial No.

09/813,443

Filing Date

MARCH 7, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

Invention: MULTIPLE WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

I hereby certify that the following correspondence:

Transmittal Letter (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

02/19/03

(Date)

Jerry V. King

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EV193318131US

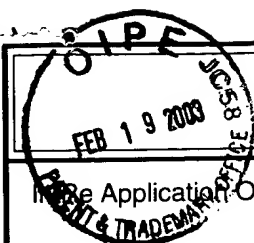
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2874



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
UC97-156-7

Re Application Of: OLAV SOLGAARD ET AL.

Serial No.	Filing Date	Examiner	Group Art Unit
09/813,446	MARCH 7, 2001	LEE, JOHN D.	2874

Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1. Transmittal of Information Disclosure Statement (Page 1 & 2);
2. Information Disclosure Citation (PTO-1449) (Page 1 & 2);
3. Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (Page 1) and;
4. Citations (10).

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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Dated: 2/19/03

JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
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SACRAMENTO, CA 95814
(916) 498-1010

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **OLAV SOLGAARD ET AL.**

Docket No.

UC97-156-7

Serial No.

09/813,446

FEB 1 2003

Filing Date

MARCH 7, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

Invention: **MULTI-LENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1 & 2)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)*

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Applicant(s): OLAV SOLGAARD ET AL.

Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

MARCH 7, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

Invention: MULTIWAVELENGTH CROSS-CONNECT OPTICAL SWITCH

I hereby certify that the following correspondence:

Citations (10)

(Identify type of correspondence)

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Jerry V. King

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): OLAV SOLGAARD ET AL.

Docket No.

UC97-156-7

Serial No.

09/813,446

FEB

9 2003

Filing Date

MARCH 7, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874

Invention: MULTI-ANGLE LENGTH CROSS-CONNECT OPTICAL SWITCH

I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1 & 2)

(Identify type of correspondence)

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Docket No.

UC97-156-7

Serial No.

09/813,446

Filing Date

MARCH 7, 2001

Examiner

LEE, JOHN D.

Group Art Unit

2874Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:


Statement under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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(Date)

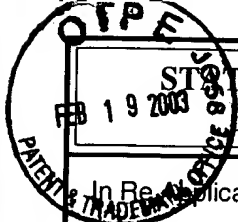
Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)*


(Signature of Person Mailing Correspondence)

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
UC97-156-7

In Re: Application Of: **OLAV SOLGAARD ET AL.**

Serial No.
09/813,446

Filing Date
MARCH 20, 2001

Examiner
LEE, JOHN D.

Group Art Unit
2874

Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

**JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010**

Dated: *2/19/03*

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